

Audit & Governance Committee – Indicative Forward Plan 2023/24

REPORT	8 JUN 2023 (extra)	27 JUL 2023	7 SEP 2023 (extra)	26 OCT 2023	30 NOV 2023 (extra)	11 JAN 2024	7 MAR 2024 (extra)	11 APR 2024
ANNUAL REPORTS								
Statement of Accounts 2022/23		✓						
Annual Governance Statement 2022/23 and Annual Review of Local Code of Governance (1 update on Action Plan only)		✓				✓ ₁		
Chief Internal Auditor's Annual Opinion Report 2022/23		✓						
Annual Breaches & approved Waivers of Financial Regulations Report 2022/23		✓						
Annual Review of Declarations of Interests, Gifts & Hospitality by Officers 2022/23		✓						
Use of Regulation of Investigatory Powers Act and Investigatory Powers Act Annual Report 2022/23		✓						
Annual Report of Internal Audit Counter Fraud Work and Whistleblowing Referrals 2022/23				✓				
Emergency Planning & Business Continuity Update				✓				
Health & Safety and Fire Safety Update				✓				
Treasury Management Strategy Refresh/Approval for next financial year (2 combined report with TM monitoring report)						✓ ₂		
Assurance Framework & Internal Audit Planning Consultation						✓		
Information Governance Update								✓
Internal Audit Charter & Audit Plan for next financial year								✓
ANNUAL OR PERIODIC POLICY UPDATES								
Annual evolution of Policies for 2024/25: - Whistleblowing - Anti-Fraud and Corruption - Declaration of Interests, Gifts & Hospitality - Regulation of Investigatory Powers Act (RIPA) and Investigatory Powers Act (IPA)							✓	
Financial Regulations - annual evolution for 2024/25.							✓	
QUARTERLY / HALF YEARLY REPORTS								
Internal Audit - Quarterly Audit Plan Update		✓		✓		✓		✓
Risk Management – Corporate Risk Register Update		✓		✓		✓		✓
Forward Plan (refresh)		✓		✓		✓		✓
Treasury Management Monitoring Report (2 combined report with TM strategy/refresh report)		✓		✓		✓ ₂		
AD HOC / OTHER REPORTS								
<i>To be agreed during the year</i>								
PRESENTATIONS (rather than formal reports)								
<i>Presentations to be agreed during the year</i>								
EXTERNAL AUDITS REPORTS								
External Auditor – Audit Plan 2022/23 (3 2023/24)		✓						✓ ₃
External Auditor – Audit Findings Report 2021/22 & 2022/23	As required depending on agreed timetable and national issues							
External Auditor – Auditors Annual Report 2021/22 & 2022/23)	As required depending on agreed timetable and national issues							
External Auditor – Audit Progress & Sector Update	As required depending on agreed timetable and national issues							