



## Risk Scoring Matrix and Impact and Likelihood Scoring Definitions

| THREATS    |                                       |            |               |             |                |
|------------|---------------------------------------|------------|---------------|-------------|----------------|
| Likelihood | Almost Certain<br>(4)<br>>90%         | 4          | 8             | 12          | 16             |
|            | Likely<br>(3)<br>60 - 90%             | 3          | 6             | 9           | 12             |
|            | Could Happen<br>(2)<br>20 - 60%       | 2          | 4             | 6           | 8              |
|            | Unlikely/<br>Rarely<br>(1)<br>0 - 20% | 1          | 2             | 3           | 4              |
|            |                                       | Low<br>(1) | Medium<br>(2) | High<br>(3) | Extreme<br>(4) |
|            | Impacts                               |            |               |             |                |

Please see below for an explanation of impact and likelihood scoring definitions.

## Impact of Risk

### Impact Scoring Guidance

| Threat (Negative) Impacts Scores |                |  |
|----------------------------------|----------------|--|
| 1                                | <b>Low</b>     | <ul style="list-style-type: none"> <li>a) Potential financial loss of less than £200k</li> <li>b) Minor injury</li> <li>c) Minor legal/regulatory consequence</li> <li>d) Minor impact outside single objective/local system</li> <li>e) Internal adverse publicity, minor reputational damage/ adverse publicity</li> <li>f) Minor service disruption</li> <li>g) Minimal service user complaints</li> </ul>  |
| 2                                | <b>Medium</b>  | <ul style="list-style-type: none"> <li>a) Potential financial loss of between £200k and £999,999</li> <li>b) More serious injury</li> <li>c) Significant legal/ regulatory consequence</li> <li>d) Significant impact on objective/s, processes or systems</li> <li>e) Significant localised reputational damage</li> <li>f) Significant service disruption</li> <li>g) Multiple service user complaints</li> </ul>  |
| 3                                | <b>High</b>    | <ul style="list-style-type: none"> <li>a) Potential financial loss of between £1m and £1,999,999</li> <li>b) Major disabling injury</li> <li>c) Substantial legal/ regulatory consequence</li> <li>d) Substantial impact on objective/s, processes or systems</li> <li>e) Prolonged adverse local and national media coverage</li> <li>f) Substantial service disruption</li> <li>g) A substantial number of service user complaints</li> </ul>            |
| 4                                | <b>Extreme</b> | <ul style="list-style-type: none"> <li>a) Potential financial loss of over £2m</li> <li>b) Fatality and/or multiple injuries</li> <li>c) Major legal/regulatory consequence</li> <li>d) Major impact on corporate level objective/s</li> <li>e) Major/severe reputational damage/ national adverse publicity</li> <li>f) Central government interest/ administration</li> <li>g) Loss of all critical services for a significant period of time</li> </ul> |

## Likelihood of Risk

### Likelihood Scoring Guidance

| Threat (Negative) Likelihood Score |                  |   |
|------------------------------------|------------------|---|
| 1                                  | Unlikely/ Rare   | a) 0 - 20% chance of occurrence<br>b) 1 in 20 year event<br>c) May occur only in exceptional circumstances<br>d) Has never or very rarely happened before |
| 2                                  | Could Happen     | a) 20 - 60% chance of occurrence<br>b) 1 in 10 year event<br>c) Is unlikely to occur but could occur at some time/in some circumstances                   |
| 3                                  | Likely to Happen | a) 60 - 90% chance of occurrence<br>b) 1 in 5 year event<br>c) Will probably occur at some time/in most circumstances                                     |
| 4                                  | Almost Certain   | a) Over 90% chance of occurrence<br>b) Occurs on an annual basis<br>c) Is expected to occur in most circumstances   |